



Attorney Docket No: 2002576-0001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: René Robert Examiner: R. Weisberger
Serial No.: 09/494,799 Art Unit: 3624
Filing Date: January 31, 2000
Title: SYSTEM FOR ACCESS TO AND EXCHANGE OF MARKET DATA

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AF #1
#13/a
8/26/04
RECEIVED

AUG 19 2004

GROUP 3600

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on August 13, 2004.


Susan M. Dinsmore

Sir:

OFFICE ACTION RESPONSE UNDER 37 C.F.R. § 1.116

This **Response to Office Action** is filed in response to the Office Action mailed May 6, 2004, rejecting claims 1-24. The cited references are two press releases by Capital Access International, "Capital Access Introduces Histran," dated January 13, 1999 (hereinafter, "CA I"), and "Capital Access Selects Mercury Technologies to Build Its New Fixed-Income Internet Solution," dated November 9, 1999 (hereinafter, "CA II"). The following amendments and remarks remove all grounds for rejection of the application, thereby placing it in condition for allowance.

Amendments to the Claims are reflected in the listing of claims that begins on page 2 of this paper.

Remarks begin on page 7 of this paper.

An **Appendix** is attached following page 11 of this paper.